Treatment of Head and Neck Melanoma In Situ With Staged Contoured Marginal Excisions

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Abstract: Staged marginal evaluation of melanoma in situ (MIS) is performed to avoid reconstruction on positive margins. Contoured marginal excision (CME) is an excision of a 2-mm wide strip of normal-appearing skin taken approximately 5 mm from the visible tumor periphery. If positive, a new CME is excised; the tumor is resected once negative margins are confirmed. The purpose of this study is to report our experience using this technique for the treatment of head/neck MIS. Clinicopathological data were abstracted for all patients who underwent staged CME followed by central tumor resection for head/neck MIS; patients with invasive melanoma were excluded. Statistical analyses included \( \chi^2 \) test and \( t \) test. Overall, 127 patients with MIS were identified. Fifty-six percent were men; the average age was 68 years. The median number of CME procedures per patient was 1 (range, 1–4). Twenty-three percent of patients required more than 1 CME procedure to achieve negative margins. Local recurrence occurred in 3 of 127 patients after a median follow-up of 5 months. Patients requiring multiple CME procedures were more likely to experience local recurrence (\( P < 0.001 \)). In conclusion, this technique is an effective method to avoid reconstruction on positive MIS margins with high local disease control rates.

Key Words: melanoma in situ, contoured marginal excision, staged excision, picture frame technique, melanoma, lentigo maligna

Melanoma in situ (MIS) on sun-damaged skin (lentigo maligna) is associated with chronic ultraviolet light exposure and can pose a treatment dilemma due to microscopically positive margins after excision, because the tumor frequently extends beyond the clinically visible edges. A 5-mm margin is conventionally recommended for treatment, but is associated with recurrence rates up to 20%.1,2 Historically, up to 50% of patients may have histologically positive margins after 5-mm margin excisions.1,2 Several techniques have been used to assure negative margins before reconstruction.

Staged contoured marginal excision (CME) followed by central tumor resection and reconstruction is a simple approach to confirm negative margins pathologically prior to definitive tumor resection and reconstruction.1,4,5 This is a variation of the picture frame approach, but we use aesthetic incisions (eg, nasolabial fold), and consider aesthetic units (eg, nasal alar base) to maximize the final aesthetic outcomes.6,7 The CME procedure involves removing curvilinear strips of normal-appearing skin approximately 5 mm away from gross visible tumor and is performed as described below.

This study investigated the utility of CME followed by central tumor resection in the treatment of head and neck MIS. We specifically excluded patients with a preexisting diagnosis of invasive melanoma elsewhere, or with invasive melanoma present along with MIS (lentigo maligna melanoma), to accurately characterize the recurrence potential for MIS after CME. Other groups have demonstrated that the invasive component will dominate the overall survival in those patients with both invasive and noninvasive malignancies.8,9

PATIENTS AND METHODS

Subjects

Clinicopathological, surgical, and recurrence data were abstracted for all patients who underwent staged CME followed by central tumor resection for head or neck MIS from 2006 to 2015. Patients with an invasive melanoma component or with a preexisting diagnosis of invasive melanoma at the time of MIS diagnosis were excluded. All specimens were reviewed by a Moffitt Cancer Center dermatopathologist before treatment. Postoperatively, patients are seen about 2 weeks after resection and 3 months after resection, then followed up at least every 6 months by their referring dermatologist or a dermatologist at our institution. The institutional review board approved this retrospective data review.

Surgical/Pathological Technique

All patients with MIS on the head and neck with no medical contraindications to surgery were considered for treatment by staged CME and subsequent central tumor excision and reconstruction (Fig. 1). The CME procedure involves removing 2- to 3-mm wide curvilinear strips of normal-appearing skin approximately 5 mm away from gross visible pigmentation/tumor and from any areas of depigmentation beyond gross tumor noted on Wood's lamp interrogation (Figs. 1A and B). The strips are fashioned to conform to the aesthetic lines at the periphery of the tumor (Fig. 2). Each strip (4–5 per CME procedure) is oriented for the pathologist (Fig. 1C). The narrow CME defects (~2 mm) are temporarily closed primarily (ie, running 3-0 nylon). The thickness of the CME (~2 mm) is the narrowest width of skin that can be reliably be excised and oriented for fixation and pathologic analysis. Often, the CME procedure is performed in an office setting with local anesthesia. Each margin specimen is embedded in toto in tangential fashion. The outer edge is cut first and evaluated by hematoxylin-eosin staining and immunohistochemistry using S-100, Melan-A, microphthalmia transcription factor (MITF), and/or Sox10 if indicated to evaluate melanocyte density, confluence, and/or pagetoid spread. If any strip in the first CME procedure demonstrates MIS on final pathological evaluation, then an additional CME is performed at that margin (Fig. 1D, on the inferior and posterior margins). This is repeated until all the margins are negative on final pathologic evaluation (Fig. 3). Turnaround time for each stage is approximately 3 to 5 working days. No further margins are excised if the positive margin is at the ciliary line of the eyelid, the intranasal skin of the nose alar
rim, or if the patient refuses further surgery. The final CME margins serve as the boundary for central tumor resection with immediate reconstruction. This procedure usually requires general anesthesia but is almost always performed as an outpatient operation. Reconstruction is performed at the discretion of the surgeon with skin flaps, skin grafts, wound matrix, or primary closure.

FIGURE 1. CME for melanoma in situ on the left face with poorly demarcated margins (A); after marginal excision (B); specimens oriented for the pathologist (C); and repeat CME performed for positive inferior and posterior margins on the initial CME (D).

FIGURE 2. The contoured marginal excision specimens are excised considering the configuration of tumor, aesthetic lines, aesthetic units, and relaxed skin tension lines. A, the strips of skin are sharply incised (B) and excised (C).
Local recurrences were defined as MIS or invasive melanoma within 2 cm adjacent to the reconstruction/scar.

**Statistical Analysis**

Statistical significance, $\alpha$, was set at $P = 0.05$. Statistical analyses were performed with $\chi^2$, Student $t$ test, and logistic regression. Recurrence-free survival hazards were determined with Cox proportional hazard models; the number of CMEs was investigated as a continuous as well as a categorical variable (1–2 vs 3–4 CMEs).

**RESULTS**

**Patient Characteristics**

130 patients were identified who underwent staged CME for a preoperative diagnosis of MIS of the head or neck and no history of invasive melanoma. Of these, 3 patients were found to have invasive melanoma in the central tumor resection and were excluded from analysis of local and regional recurrence, leaving 127 patients with MIS. Table 1 lists the patient characteristics for the 127 patients without incidentally found invasive melanoma, broken down further by the number of CME procedures that the patients underwent before central tumor resection.

Of the 127 patients, 56% were men, 83% of the lesions were on the face, and the average age was 68 years (range, 30–92 years). Ninety-two percent of patients had the lentigo maligna subtype of MIS; the remainder were superficial spreading MIS. The median follow-up was 5.4 months, with a range from 1 to 101 months, and a mean follow up of 9.9 months.

**Contoured Marginal Excisions**

The median number of CME procedures was 1 (range, 1–4). Final margins were negative in 95% of patients, but 29 patients (22.8%) required 2 or more CME procedures to achieve negative margins.

Of the 127 patients, negative margins were not achieved in 6 patients (4.7% positive margin rate). Three of these 6 patients had positive margins on the edge of the eyelid, and 1 patient had a positive margin on the inner aspect of nasal ala with no further skin to excise. Two of the 6 patients had positive margins on the cheek but refused to undergo further CMEs. Both of these patients were octogenarians and decided against further CMEs after discussion with their primary surgeon. There were no recurrences in this group of 6 patients with positive margins, at a median follow-up of 7.8 months (range, 2–37 months; mean, 11.3 months).

**Reconstruction**

After central tumor resection, the median final tissue defect size was 10 cm² with a range from 1.5 to 210 cm². Reconstruction was completed with local flaps (36%), skin grafts (59%), wound matrix (2%), or primary closure (3%). Twelve (41%) of the 29 patients with MIS in the

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>1–2 CMEs</th>
<th>3–4 CMEs</th>
<th>Univariate $P$ value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, mean ± SD, years</td>
<td>68 ± 13</td>
<td>71 ± 15</td>
<td>0.53</td>
</tr>
<tr>
<td>Gender, male, n (%)</td>
<td>68 (58%)</td>
<td>3 (30%)</td>
<td>0.09</td>
</tr>
<tr>
<td>Site face, n (%)</td>
<td>96 (82%)</td>
<td>10 (100%)</td>
<td>0.54</td>
</tr>
<tr>
<td>Ear, n (%)</td>
<td>5 (4%)</td>
<td>0 (0%)</td>
<td></td>
</tr>
<tr>
<td>Scalp, n (%)</td>
<td>12 (10%)</td>
<td>0 (0%)</td>
<td></td>
</tr>
<tr>
<td>Neck, n (%)</td>
<td>4 (3%)</td>
<td>0 (0%)</td>
<td></td>
</tr>
<tr>
<td>Clinical tumor diameter (maximum), mean ± SD, cm</td>
<td>2.9 ± 1.9</td>
<td>3.5 ± 2.5</td>
<td>0.18</td>
</tr>
<tr>
<td>Positive margin, n (%)</td>
<td>4 (3.4%)</td>
<td>2 (20%)</td>
<td>0.02</td>
</tr>
<tr>
<td>Local recurrence, n (%)</td>
<td>1 (0.9%)</td>
<td>2 (20%)</td>
<td>0.0001</td>
</tr>
</tbody>
</table>

The total values do not always equal 100% because of rounding off.

Data in bold indicates statistically significant values.

SD, standard deviation; CME, contoured marginal excision.
initial CME were eventually reconstructed with local flaps, whereas the rest were reconstructed with skin grafts after further CMEs were excised. Primary closure was occasionally used when there was sufficient laxity in the skin (eg, on the neck). We used wound matrix only when other options were inadequate, predominantly due to patient-related issues. Full-thickness skin grafts were often used for small-sized to moderate-sized defects not amenable to primary closure and were harvested from easily accessible sites.

**Recurrence**

At last follow-up, 3 (2.4%) of the 127 MIS patients developed locally recurrent MIS. These 3 patients underwent 1, 3, and 4 CME procedures to achieve negative margins after their original diagnosis, respectively. There was no difference in the average area of initial visible MIS between those patients who recurred and those who did not recur \( (P = 0.8) \). The follow-up for those 3 patients who recurred was 3, 26, and 26 months, respectively. All 3 patients who recurred were resected with negative margins. One of those patients was found to have a dermal nodule of invasive melanoma adjacent to the site of MIS recurrence. No patients developed regional or distant metastatic disease. Increased risk for recurrence was associated with the number of individual CME procedures (Cox proportional hazard ratio = 3.0 for each additional CME, \( P = 0.039 \)) as well as comparing 1 to 2 versus 3 to 4 CMEs \( (P < 0.001, \text{Fig. 4}) \).

**DISCUSSION**

 Appropriately managing the subclinical extent of MIS—pathologically positive but visibly negative tissue—is the greatest challenge in managing this disease. Confirming negative margins prior to definitive central tumor resection and reconstruction is critically important to avoid reconstruction on tumor-positive margins, avoid subsequent operations, and to minimize the chance of local recurrence. Additionally, pathologic examination of specimens reexcised after positive margins can be challenging due to changes secondary to the prior procedure. The staged CME procedure enables pathologic analysis of the entire peripheral surgical margin. In our series, 23% of patients with MIS of the head and neck had disease beyond the recommended 5-mm resection margins based on a positive first CME at that distance. It is difficult to identify these patients preoperatively by clinical examination, especially with lentigo maligna. Other groups have demonstrated local recurrence rates for MIS as high as 20% \(^{1,2} \), whereas it was 2.4% in this series, albeit with short follow-up. This highlights the potential advantage of staged CME and central resection. It is interesting that the reported local recurrence rate in the literature is similar to our CME positivity rate at the first procedure, suggesting biologic plausibility.

The ultimate goal of resecting MIS is to prevent the progression to invasive melanoma.\(^{10} \) In this series of 127 patients with MIS, the recurrence rate of MIS was 2.4% with only 1 of the 3 patients who recurred developing an invasive melanoma. Assuming that the underlying goal in treating MIS in patients is the prevention of invasive melanoma, then we report a failure to cure rate of 0.8% \((1/127) \). Of note, 3 patients with a preoperative diagnosis of MIS were found to have invasive melanoma in the central tumor resection specimen and were excluded from this analysis. One of those 3 patients had an invasive melanoma 1.5 mm in depth and underwent further resection and a delayed sentinel lymph node biopsy, which was negative. The other 2 patients had invasive melanomas less than 0.3 mm in depth and underwent wide resection. None of these 3 patients recurred with a median follow-up of 9 months \((\text{range, } 5–12 \text{ months}) \). A recent study by Gardner et al\(^{11} \) demonstrated that 4% of patients with head and neck MIS were found to have invasive melanoma upon final resection. In addition, in that study, 4 \((0.6\%) \) of 624 patients were found to have an invasive melanoma more than 5 mm away from the primary MIS lesion.

Treatment options for MIS also include Mohs micrographic surgery and topical therapy. Micrographic surgical excision with delayed reconstruction after immunohistochemistry evaluation is an option and has recurrence rates of approximately 5% \(^{12} \). An advantage of micrographic surgery is that patients can potentially have pathologic evaluation and reconstruction on the same day. However, reconstruction is often delayed 1 or more days even after micrographic surgery, and these patients will have an open wound until the reconstruction is completed. A major disadvantage of micrographic surgery is the challenge associated with interpreting MIS on frozen section evaluation, even with the use of immunohistochemical stains, compared with permanent sections obtained for evaluation with the CME procedure. Importantly, excising the CME starting at a 5-mm distance from the MIS assures that no patients are receiving substandard of care margins (ie, less than 5 mm).

Another treatment option involves topical therapy, such as imiquimod, which has been reported to be an effective therapy in selected patients with limited disease or medical contraindications to surgery. However, this approach suffers from the inability to examine pathologic tissue for occult invasive melanoma\(^{13,14} \).

Optimal reconstruction near critical aesthetic and functional structures is a challenge and should be accomplished with minimal risk of recurrence, maintenance of cosmesis, and maximal preservation of these structures \( (\text{Fig. 2}) \). Maintaining functional and cosmetic status is especially paramount considering that MIS is not a life-threatening disease. Using staged CME does require at least 2 procedures but is associated with an acceptably low recurrence rate and a very low rate of invasive recurrence \((1 \text{ out of } 127 \text{ patients in this series}) \). Importantly, the initial staged CME procedure can be performed with local anesthesia in an office-type setting to minimize anesthetic risks.

The relatively short follow-up and long period of this study are both limitations to generalizing these results. Our practice is to evaluate patients with MIS approximately 3 months after resection and reconstruction to confirm that there is no evidence of disease, and all wounds healed well. At that point, patients are typically referred back to their local dermatologist for continued skin surveillance \((\text{at least twice yearly}) \). It is common practice for patients to be referred back to our institution if further MIS or melanoma lesions are diagnosed, but this clearly remains a limitation of this study.

There are 2 noteworthy limitations to the staged CME technique itself. First, there are anesthetic risks as patients are undergoing multiple operations. Performing the first CME procedure under local anesthesia with or without sedation, however, minimizes this risk. Second, if an invasive melanoma over 0.75 mm is identified after central tumor resection, then a subsequent sentinel lymph node biopsy may not be reliable. The true sentinel lymph node may not be identified because...
lymphatic channels have been disrupted during the CME procedure but a large series by Gannon et al\textsuperscript{15} demonstrated overall success in staged sentinel lymph node biopsy after wide excision of invasive melanoma in highly selected patients. Surveillance of the lymph node basin with serial ultrasound examination is an alternative or adjunct to sentinel node biopsy in these patients.\textsuperscript{16}

In conclusion, staged CME followed by central tumor resection is an effective method to treat MIS on the head and neck. Twenty-three percent of these patients would have had positive margins if treated by standard excision with 5 mm margins, as proposed by most guidelines. Lesions with larger subclinical extent (ie, requiring more than 2 CMEs) had a significantly higher rate of local recurrence and may represent tumors for which more intensive surveillance or adjunctive means to reduce local recurrence should be investigated.

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\textbf{REFERENCES}